

## **AYOKI MERCHANTILE LIMITED**

Corporate Identification Number (CIN): L17120MH1985PLC034972;

Registered Office: Laxmi Commercial Centre, Room No. 405, 4th Floor, Senapati Bapat Marg,  
Dadar (W), Mumbai, Maharashtra, 400028.

Corporate Office: 11, Windward Business Park, Opp. Aadicura Hospital,  
Jetalpura Road, Vadodara, Gujarat – 390 007, India

Corporate Office Contact Details: +91 6359158825

Website: www.ayokimerchantile.com Email Address: ayoki1985@gmail.com

**Date: October 21, 2023**

**REF: AML/BSE/SDD/2023-24**

To,

**BSE Limited**

Listing Compliance

Phiroze Jeejeebhoy Towers,

Dalal Street,

Mumbai-400 001.

**Ref: - AYOKI MERCHANTILE LTD (SCRIPT CODE: 512063)**

**Subject: Submission of Structured Digital Database (SDD) Compliance Certificate for the Quarter ended September 30, 2023.**

Dear Sir/Madam,

Pursuant to regulations 3(5) and 3(6) of the SEBI (Prohibition of Insider Trading) Regulation 2015, we attached herewith the Compliance Certificate dated October 21, 2023 for the Quarter ended **September 30, 2023** with respect to the Structured Digital Database (SDD) maintained by the company.

Kindly take note of the same.

Thanking you,

For, **AYOKI MERCHANTILE LIMITED**

**DHARMESH CHAUHAN**

**COMPANY SECRETARY & COMPLIANCE OFFICER**

**MEMBERSHIP NO. – A40003**

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### **COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 2023,**

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, **DHARMESH CHAUHAN**, Compliance Officer of **AYOKI MARCHANTILE LIMITED** am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations).

I confirm that the Company was required to capture 2 (Two) number of events during the quarter ended and has captured 0 (Zero) number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard:

Sr. No.	Description	Remark
1.	The company has a Structured Digital Database in place	Not Comply
2.	Control exists as to who can access the SDD	Not Comply
3.	All the UPSI disseminated in the previous quarter have been captured in the Database	Not Comply
4.	The system has captured the nature of UPSI along with the date and time	Not Comply
5.	The database has been maintained internally and an audit trail is maintained	Not Comply
6.	The database is non-tamperable and has the capability to maintain the records for 8 years.	Not Comply

#### **Remedial Action:**

In reference to the above noncompliance, we would like to inform you that the Company was acquired by new Management and the Open offer for acquisition is under process. We will ensure you to comply the regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) in ensuing quarter i.e. October 2023 to December 2023 through purchase of Structured Digital Database software for the same by new Management.

For, **AYOKI MERCHANTILE LIMITED**

**DHARMESH CHAUHAN**  
**COMPANY SECRETARY & COMPLIANCE OFFICER**  
**MEMBERSHIP NO. – A40003**

Date: October 21, 2023  
Place: Vadodara